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| ALLRED, STANLEY E MRCOPPELL,TX 75019 | SOUTHWEST SECURITIES/STOCKBROKER | 2/27/07 | $1,000 | Giuliani, Rudolph W (R) |
| BERWICK, STUARTRICHARDSON,TX 75080 | SOUTHWEST SECURITIES, INC./DIRECTOR | 1/2/08 | $250 | Huckabee, Mike (R) |
| BUCHHOLZ, DON ADALLAS,TX 75225 | SOUTHWEST SECURITIES GROUP INC./CHA | 2/14/08 | $2,000 | Hensarling, Jeb (R) |
| BUCHHOLZ, DON ADALLAS,TX 75225 | SOUTHWEST SECURITIES GROUP INC./CHA | 4/18/07 | $2,000 | Hensarling, Jeb (R) |
| BUCHHOLZ, DON ADALLAS,TX 75225 | SOUTHWEST SECURITIES GROUP INC./CHA | 2/14/08 | $300 | Hensarling, Jeb (R) |
| CORY, GREG LRUIDOSO,NM 88345 | SOUTHWEST SECURITIES, FSB/BANKER | 7/10/08 | $500 | Tinsley, Edward R (R) |
| CORY, GREG LRUIDOSO,NM 88345 | SOUTHWEST SECURITIES, FSB/BANKER | 8/28/08 | $500 | Tinsley, Edward R (R) |
| CORY, GREG L MRRUIDOSO,NM 88345 | SOUTHWEST SECURITIES, FSB/BANKER | 11/5/08 | $800 | Tinsley, Edward R (R) |
| CORY, GREG MRRUIDOSO,NM 88345 | SOUTHWEST SECURITIES FSB/BANKER | 7/31/08 | $201 | McCain, John (R) |
| HERR, CHARLOTTEDALLAS,TX 75209 | SOUTHWEST SECURITIES/INVESTMENT BRO | 6/29/08 | $1,000 | Sessions, Pete (R) |
| JOHNSON, GINGER MRSINFO REQUESTED,99 99999 | SOUTHWEST SECURITIES F.S.B./BANKING | 12/13/07 | $250 | Giuliani, Rudolph W (R) |
| Jones, Reno EProsper,TX 75078 | Southwest Securities | 9/30/08 | $250 | Johnson, Sam (R) |
| Jones, Reno EProsper,TX 75078 | Southwest Securities/Senior Vice-Pr | 9/29/08 | $250 | Hall, Ralph M (R) |
| KENDRICK, JOHN MRMEDFIELD,MA 02052 | SOUTHWEST SECURITIES/INVESTMENT BAN | 1/26/08 | $250 | McCain, John (R) |
| KING, ELIOWEST LAKE HILLS,TX 78746 | SOUTHWEST SECURITIES, INC. | 2/13/08 | $250 | Obama, Barack (D) |
| OWEN, STEVEN H MRHURST,TX 76053 | SOUTHWEST SECURITIES/CFP | 5/8/08 | $500 | McCain, John (R) |
| QUIROGA, JR, VICTORSAN ANTONIO,TX 78258 | SOUTHWEST SECURITIES/FINANCIAL ADVI | 10/21/08 | $250 | Larson, Lyle (R) |
| QUIROGA, VICTORSAN ANTONIO,TX 78258 | SOUTHWEST SECURITIES/FINANCIAL ADVI | 8/30/08 | $250 | McCain, John (R) |
| QUIROGA, VICTORSAN ANTONIO,TX 78258 | SOUTHWEST SECURITIES/FINANCIAL ADVI | 8/14/10 | $250 | Canseco, Francisco (R) |
| QUIROGA, VICTORSAN ANTONIO,TX 78258 | SOUTHWEST SECURITIES/FINANCIAL ADVI | 10/14/10 | $250 | Canseco, Francisco (R) |
| RIVERA, ELIZABETHDALLAS,TX 75206 | SOUTHWEST SECURITIES/INVESTMENTS | 10/20/10 | $1,000 | Sessions, Pete (R) |
| SMITH, ALBERT WARLINGTON,TX 76001 | SOUTHWEST SECURITIES/BANKING | 4/3/07 | $250 | Cornyn, John (R) |
| THIEMAN, MARK MRSAN ANGELO,TX 76901 | SOUTHWEST SECURITIES/SECURITIES AND | 5/30/09 | $250 | Conaway, Mike (R) |
| THIEMAN, MARK MRSAN ANGELO,TX 76901 | SOUTHWEST SECURITIES/SECURITIES AND | 8/13/10 | $250 | Conaway, Mike (R) |
| THIEMAN, MARK MRSAN ANGELO,TX 76901 | SOUTHWEST SECURITIES/SECURITIES AND | 4/9/07 | $250 | Conaway, Mike (R) |
| THOMPSON, NORMANPLANO,TX 75025 | SOUTHWEST SECURITIES INC./CHIEF INF | 8/30/08 | $500 | McCain, John (R) |
| WILSON, STEVEN RWINNETKA,IL 60093 | SOUTHWEST SECURITIES/BOND BROKER | 9/25/09 | $250 | Dold, Robert (R) |